

# First Trust Indxx Innovative Transaction & Process UCITS ETF

The Fund is a passively managed index-tracking fund that seeks to provide investors with investment results that correspond generally to the price and yield of the Indxx Blockchain Index (the "Index"), before fees and expenses. The rules-based Index is designed to track a global universe of companies that are either actively using, investing in, developing, and/or have products poised to benefit from blockchain technology.

## Performance

Past performance does not predict future returns

Performance Since Inception (%)



Performance Summary (%)	1 M	3 M	YTD	1 Y	3 Y	5 Y	Since Inception
Acc USD	-0.92	7.07	3.34	27.53	21.63	11.76	12.43
Tracking Index	-0.89	6.91	3.41	27.70	22.16	12.27	12.99

Performance for periods under one year is cumulative and over one year is annualised.

12-Month Performance Periods (%)	31.12.20	31.12.21	31.12.22	31.12.23	31.12.24	31.12.25
	Acc USD	17.32	-18.57	21.74	15.95	31.31
Tracking Index	18.10	-18.25	22.48	16.71	31.41	

Fund performance is the performance of the share class since its inception, shown net of fees including re-invested dividends in the base currency of the share class which is in USD. Performance can go up as well as down, and investors may lose some or all of their capital. Returns may increase or decrease as a result of currency and exchange rate fluctuations. Please refer to the 'Risks' section on Page 3 for more details on risks associated with an investment in the Fund.

BLOK	Acc USD
ISIN	IE00BF5DXP42
Asset Class	Equity
Fund Inception	09.04.2018
Share Class Inception	09.04.2018
Total Expense Ratio	0.65%
Base Currency	USD
Income Treatment	Accumulating
Total Fund AUM	\$51.66 million
Outstanding Shares	1,025,002
UCITS Compliant	Yes
Domicile	Ireland
Investment Manager	First Trust Advisors
Rebalance Frequency	Semi-Annual
Replication Method	Physical - Full Replication
SFDR Classification	Article 6
Tracking Index	Indxx Blockchain Index

## Trading Information

Exchange	Ccy	Ticker	SEDOL
London Stock Exchange	GBP	BLOK LN	BZ14KR2
London Stock Exchange	USD	LEGR LN	BZ14KQ1
Borsa Italiana	EUR	BLOK IM	BF1DY99
Deutsche Börse Xetra	EUR	BLOK GY	BFXGK87
SIX Swiss Exchange	CHF	BLOK SW	BN4BC58

ISA
  SIPP
  UK Reporting Status

## CONTACT US

info@ftgportfolios.com

www.ftglobalportfolios.com

### FTGPM

24 St Stephen's Green, Dublin 2, D02 EK82  
+353 (0)1 913 1339

### FTGP

8 Angel Court, London, EC2R 7HJ  
+44 (0)20 3195 7121

This marketing communication is directed at Professional Investors only and is not for Retail Investors. Please refer to the Prospectus, the related supplement, and the KID/KIID before making any final investment decisions.

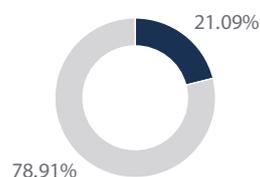
## Portfolio

### TOP 10 HOLDINGS (%)

Samsung Electronics Co., Ltd.	3.56
Micron Technology, Inc.	3.42
Intel Corporation	2.31
Engie S.A.	2.01
Taiwan Semiconductor Manufacturing Company Ltd. (ADR)	1.81
Infineon Technologies AG	1.80
Advanced Micro Devices, Inc.	1.61
Swisscom AG	1.59
Nordea Bank Abp	1.52
Emirates Telecommunications Group Company PJSC	1.46

Top 10 holdings as a percentage of Total Net Assets. Portfolio Holdings are subject to change at any time. References to specific bonds should not be construed as a recommendation to buy or sell and should not be assumed profitable.

Top 10 Holdings as % of Total



### SECTOR ALLOCATION (%)

Financials	37.75	
Information Technology	29.42	
Communication Services	9.59	
Consumer Discretionary	8.35	
Industrials	5.42	
Utilities	3.63	
Materials	2.33	
Consumer Staples	1.60	
Health Care	1.10	
Energy	0.81	

### COUNTRY ALLOCATION (%)

United States	36.63
China	9.10
Germany	8.02
India	6.52
France	5.39
United Kingdom	5.32
South Korea	3.58
Switzerland	2.91
Australia	2.87
Hong Kong	2.80
Finland	2.71
Other	14.15

### FUND CHARACTERISTICS

Max Market Cap	\$4,305.0bn
Median Market Cap	\$128.7bn
Min Market Cap	\$12.5bn
Price/Earnings	16.48
Price/Book	2.00
Price/Cash Flow	11.29
Price/Sales	2.00
Index Yield	2.49%

- The Indxx Blockchain Index tracks the performance of exchange-listed companies across the globe that are either actively using, investing in, developing, or have products that are poised to benefit from blockchain technology. The index seeks to include only companies that have devoted material resources to the use of blockchain technologies.
- Each security in the Index must meet certain eligibility criteria based on liquidity, size and trading minimums.
- Eligible securities are classified into the following three categories: i. Tier One: Active Enablers – Companies actively developing blockchain technology products or systems for their own internal use and for the sale and support of other companies; companies that are direct service providers for blockchain technology; or, companies that have business models that rely on delivering products or services that use blockchain technology. Companies in this category are assigned a score of 1; ii. Tier Two: Active Users – Companies that are using blockchain technology that is generally supported by an Active Enabler or have at least one use or test case of using blockchain technology. Companies in this category are assigned a score of 2; iii. Tier Three: Active Explorers – Companies that have publicly disclosed that they are active in exploring the incorporation of blockchain technology into their business or have a press release on their website or a news article stating that they have started working on the blockchain technology space. These companies do not have at least one use case or test case on blockchain technology and are not currently directly incorporating blockchain technology into their business. Companies in this category are assigned a score of 3.
- Companies with a score of 1 or 2 are selected for the index and weighted with Active Enablers receiving 50% and Active Users receiving 50%. The selected companies are weighted equally within each category. The index is capped at 100 constituents.
- A maximum weighting of 0.50% is applied to constituents in the Active Enabler category with a market cap of less than \$500 million, as well as a 20 day and 3 month average daily turnover volume of less than \$3 million.
- The Index is reconstituted and rebalanced semi-annually.

## Risks

- **The Fund may not achieve its investment objective and the value of shares in the Fund may fall.**
- Neither First Trust Global Portfolios Management Limited ("FTGPM") nor any of its affiliates, guarantees the performance or the future returns of the Fund.
- The Fund is subject to blockchain technology risk. Blockchain technology is an entirely new and relatively untested technology. The risks associated with blockchain technology may not emerge until the technology is widely used. Blockchain systems could be vulnerable to fraud, particularly if a significant minority of participants colluded to defraud the rest. Access to a given blockchain requires an individualised key, which, if compromised, could result in loss due to theft, destruction or inaccessibility. There is little regulation of blockchain technology other than the intrinsic public nature of the blockchain system.
- Any future regulatory developments could affect the viability and expansion of the use of blockchain technology. Because blockchain technology systems may operate across many national boundaries and regulatory jurisdictions, it is possible that blockchain technology may be subject to widespread and inconsistent regulation. Blockchain technology is not a product or service that provides identifiable revenue for companies that implement, or otherwise use

it. Therefore, the values of the companies included in the Indxx Blockchain Index may not be a reflection of their connection to blockchain technology, but maybe based on other business operations. Currently, blockchain technology is primarily used for the recording of transactions in digital currency, which are extremely speculative, unregulated and volatile. Problems in digital currency markets could have a wider effect on companies associated with blockchain technology. Blockchain technology also may never be implemented to a scale that provides identifiable economic benefit to the companies included in the Index. There are currently a number of competing blockchain platforms with competing intellectual property claims. The uncertainty inherent in these competing technologies could cause companies to use alternatives to blockchain. Finally, because digital assets registered in a blockchain do not have a standardized exchange, like a stock market, there is less liquidity for such assets and greater possibility of fraud or manipulation.

- There is no assurance that the Index will continue to be calculated and published on the basis described in the Prospectus. In addition, the Fund's return may not match the return of the Index.
- See also the description of the risks applicable to the Fund in "Risk Factors" in the prospectus.

## Important Information

In the United Kingdom this marketing communication is issued and approved by First Trust Global Portfolios Limited ("FTGP") whose place of business is 8 Angel Court, London, EC2R 7HJ. FTGP is authorised and regulated by the United Kingdom's Financial Conduct Authority (FRN:583261). The Fund is regulated by the Central Bank of Ireland.

In countries other than the United Kingdom, this marketing communication is issued by First Trust Global Portfolios Management Limited ("FTGPM") whose place of business is 24 Saint Stephen's Green, Dublin 2, D02 EK82. FTGPM is authorised and regulated by the Central Bank of Ireland ("CBI") (C185737). The Fund is also regulated by the CBI.

Nothing contained herein constitutes investment, legal, tax or other advice and it is not to be solely relied on in making an investment or other decision, nor does the document implicitly or explicitly recommend or suggest an investment strategy, reach conclusions in relation to an investment strategy for the reader, or provide any opinions as to the present or future value or price of any fund. It is not an invitation, offer, or solicitation to engage in any investment activity, including making an investment in the Fund, nor does the information, recommendations or opinions expressed herein constitute an offer for sale of the Fund.

The Fund is an open-ended sub-fund of First Trust Global Funds PLC (the "Company"), an umbrella UCITS fund with segregated liability between sub-funds, incorporated with limited liability as an investment company with variable capital under the laws of Ireland with UCITS registered number 514357.

The material in this marketing communication is not comprehensive and must therefore be read in conjunction with the Fund's prospectus, the relevant supplement, and the UCITS key investor information document ("KIID") or the PRIIPS key information document ("KID") which contain material information not contained herein, including the terms of investment and information regarding investment risks and restrictions, fees and expenses and conflicts of interests. Potential investors should pay particular attention to the risk disclosures in the "Risk Factors" section of the Fund's prospectus and supplement (available in English) and KIIDs (available for each share class of each of the sub-funds of the Company and in one of the official languages of each of the EU Member States

into which each sub-fund has been notified for marketing under the Directive 2009/65/EC (the UCITS Directive)). No assurance can be given that the Fund's investment objective will be achieved or that the Fund will generate a positive return. Please contact either FTGP, FTGPM or visit [www.ftglobalportfolios.com](http://www.ftglobalportfolios.com) to obtain a prospectus, relevant supplement, and/or KIID/KID. Investors and potential investors can obtain a summary in English of investor rights and information on access to collective redress mechanisms in the event of litigation at the following website: [https://www.ftglobalportfolios.com/Content/SUMMARY\\_OF\\_INVESTOR\\_RIGHTS](https://www.ftglobalportfolios.com/Content/SUMMARY_OF_INVESTOR_RIGHTS).

The Fund is currently notified for marketing into a number of EU Member States under the UCITS Directive. The Company may however decide to terminate the arrangements made for the marketing of any share class of the Fund at any time using the process contained in the UCITS Directive.

Any UCITS ETF's units / shares purchased on the secondary market cannot usually be sold directly back to UCITS ETF. Investors must buy and sell units / shares on a secondary market with the assistance of an intermediary (e.g. a stockbroker) and may incur fees for doing so. In addition, investors may pay more than the current net asset value when buying units / shares and may receive less than the current net asset value when selling them.

The Company's portfolio holdings policy is designed to be transparent, whilst being in the best interest of the Fund and protecting the confidentiality of each Fund's portfolio holdings. The full portfolio holdings for the Fund shall generally be available daily, with a one-day lag, on [www.ftglobalportfolios.com](http://www.ftglobalportfolios.com). Any portfolio holdings information which may otherwise be provided on request shall be provided on a confidential basis.

"Indxx" and "Indxx Blockchain Index" are trademarks of Indxx, LLC and have been licensed for use for certain purposes by First Trust Advisors L.P. First Trust Indxx Innovative Transaction & Process ETF is based on the Indxx Blockchain Index and is not sponsored, endorsed, sold or promoted by Indxx, LLC, and Indxx, LLC makes no representation regarding the advisability of trading in such product.

**For Investors in the UK**

This document is only for, or directed at persons who are professional clients or eligible counterparties for the purposes of the FCA's Conduct of Business Sourcebook. This document is exempt from the scheme promotion restriction (in Section 238 of the Financial Services and Markets Act 2000 ("FSMA") on the communication of invitations or inducements to engage in investment activity) on the grounds that it is a recognised collective investment scheme (a "recognised scheme") for the purposes of section 264 of the FSMA of the United Kingdom. Most of the protections provided by the UK regulatory system do not apply to the operation of the Funds and compensation will not be available under the UK Financial Services Compensation Scheme on its default.

**For Investors in Iceland**

This document has been issued to you for your use only and exclusively for the purpose of the described investment opportunities. Accordingly, this document and relevant information may not be used for any other purpose or passed on to any other person in Iceland. The investment described in this document has been registered for public distribution in Iceland with the Financial Supervisory Authority pursuant to the Icelandic Act on Undertakings for Collective Investment in Transferable Securities (UCITS) and Investment Funds and Institutional Investment Funds No. 128/2011 and supplementary regulations. The Investment may not be offered or sold by means of this document or in any way later resold otherwise than in accordance with the ACT No. 128/2011.

**For Investors in Ireland**

The distribution of this document in Ireland and the offering or purchase of Shares of the Fund is restricted to the individual to whom it is addressed. Accordingly, it may not be reproduced in whole or in part, nor may its contents be distributed in writing or orally to any third party and it may be read solely by the person to whom it is addressed and his/her professional advisers. Shares in the Fund will not be offered or sold by any person:

- otherwise than in conformity with the provisions of the European Communities (Markets in Financial Instruments) Regulations 2007, as amended; or
- in any way which would require the publication of a prospectus under the Companies Act 2014, as amended or any regulations made thereunder; or
- in Ireland except in all circumstances that will result in compliance with all applicable laws and regulations in Ireland.

**For Investors in Norway**

This document is only for the attention of "Professional" investors as defined in Directive 2004/39/EC dated 21 April 2004 on Markets in Financial Instruments (MIFID). The products and services to which this communication relates are only available to such persons and persons of any other description should not rely on this communication. The Fund has been registered with the Financial Supervisory Authority of Norway (Finanstilsynet) in accordance with the Norwegian Securities Funds Act section 9-3, in order to market its shares for sale to professional investors only in Norway.

**For Investors in Spain**

This document is only for, or direct at persons who, are "professional clients" for the purposes of Article 205 of the Royal Legislative Decree 4/2015, of 23 October, which approves the recast text of the Securities Market Law. The products and services to which this communication relates are only available to such persons and persons of any other description should not rely on this communication. The Fund has been registered with the Comisión Nacional del Mercado de Valores (CNMV) in Spain with registration number 1545. Any investment decision must be based solely on the basis of a careful consideration and understanding of all information contained in the latest Fund's prospectus and key information document (KID). All mandatory official documentation (including the prospectus and the KID) shall be available through the relevant distributors in Spain, in hard copy or by electronic means, and also available free of charge upon request by writing to EuroSales@ftgportfolios.com or consulting www.ftgportfolios.com, where you may also obtain updated information on the net asset value of the relevant shares. It is advisable to obtain further information and request professional advice before taking an investment decision.

**For Investors in Portugal**

This information document is addressed to professional investors only, as defined in Directive 2004/39/EC of 21 April 2004 (MiFID). The present document does not constitute in any way an offer or recommendation to make investments in the Fund or to execute other transactions in relation to the latter. The investors shall consult with their investment consultants in order to analyse the legal, fiscal and accounting aspects of the investment or other transactions concerning the investment in the Fund and evaluate whether such investment or transaction is suitable to their own risk profile, financial status and investment objectives. The offering of the First Trust UCITS ETFs in Portugal has been notified to the Portuguese Securities Market Commission (Comissão do Mercado dos Valores Mobiliários) for the purposes of Article 196 of the Portuguese General Framework on Collective Investment Schemes (Regime Geral dos Organismos de Investimento Colectivo). Prospective investors may obtain the KID in Portuguese and the current Prospectus, the articles of incorporation, as well as the latest annual and semi-annual report, in English, free of charge from www.ftglobalportfolios.com.

**For Investors in Malta**

This document and the information contained herein does not constitute and is not intended to constitute a public offer of securities and accordingly should not be construed as such. By agreeing to receive it, each addressee agreed to the terms set out below. This document and the information contained herein has been made available in accordance with the restrictions and/or limitations implemented by any applicable laws and regulations. This document is addressed at and intended for "Professional Investors" only. A "Professional Investor" is an investor who is considered to be a professional client or which may, on request, be treated as a professional client within the relevant national implementation of Annex II of the European Directive 2004/39/EC of 21 April 2004 (Markets in Financial Instruments Directive or "MiFID"). Any investor should consult with their advisors to analyse the legal, fiscal and accounting aspects of the investment or other transactions concerning the investment in the Fund and evaluate whether such investment or transaction is suitable to their own risk profile, financial status and investment objectives. The Fund has been registered with the Malta Financial Services Authority ("MFSA") and prospective investors may obtain the KID, the current Prospectus, the articles of incorporation, as well as the latest annual and semi-annual report, in English, free of charge from www.ftglobalportfolios.com.