ARKG

ARK Genomic Revolution UCITS ETF

ARK INVEST

FUND DESCRIPTION

The ARK Genomic Revolution UCITS ETF ("ARKG") seeks to invest in companies that are substantially focused on or benefit from extending and enhancing quality of life by incorporating technological developments and scientific advancements in genomics into their business. They offer new products or services that rely on genomic sequencing, analysis, synthesis or instrumentation. These companies span sectors, from healthcare to IT and beyond. They may also develop, produce, manufacture or rely on or enable bionic devices, bio-inspired computing, bioinformatics, molecular medicine and agricultural biotechnology.

WHY INVEST IN ARKG?

Exposure to Innovation: Aims for thematic multi-cap exposure to innovative elements including gene therapy, bio-informatics, bio-inspired computing, molecular medicine and pharmaceutical innovations. ARK believes the securities held in ARKG present the best risk-reward opportunities from ARK's Genomic Revolution theme.

Growth Potential: Aims to capture long-term growth with low correlation to relative returns to traditional growth strategies and negative correlation to value strategies.

Tool for Diversification: Offers a tool for diversification due to little overlap with traditional indices. It can be a complement to traditional value/growth strategies.

Grounded in Research: Combines top-down and bottom-up research in its portfolio management to identify innovative companies and convergence across markets.

Cost Effective: Provides a lower cost alternative to mutual funds with true active management in an Exchange Traded Fund (ETF) that invests in rapidly moving themes.

FUND DETAILS

As of 30 September, 2026

ETF	**ARK Genomic Revolution UCITS ETF**
Type	**Active Equity ETF**
ISIN	**IE000O5M6XO1**
Fund Size (AUM)	**$122.50m**
TER	**0.75%**
Inception Date	**12 Apr 2024**
SFDR Classification	**Article 8**
Holdings	**33**
Base Currency	**USD**
Income Treatment	**Accumulating**
Exposure	**Global Equities**
Domicile	**Ireland**
UCITS	**Yes**
Issuer	**ARK Invest UCITS ICAV**
Promoter	**ARK Invest International Ltd**
Manager	**IQ EQ Fund Management (Ireland) Limited**
Investment Manager	**ARK Investment Management LLC**
Depositary / Custodian	**Northern Trust Fiduciary Services (Ireland) Limited**
ISA Eligible (UK)	**Yes**
SIPP Eligible (UK)	**Yes**
Reporting Fund Status (UK)	**Yes**
Equity Fund (DE)	**Yes**
Portfolio Manager	**Catherine D. Wood**
Associate Portfolio Managers	**Dan White, Nicholas Grous**

SUSTAINABILITY PROFILE

As of 30 September, 2026

MSCI ESG Rating	**BBB**

In both its "top down" and "bottom up" investment approaches, the Investment Manager evaluates environmental, social and governance ("ESG") considerations.

The "top down" approach explicitly selects for technologies that the Investment Manager anticipates will have high degrees of impact on the UN SDGs. For a company to be eligible for selection, it must be involved in a technology platform that makes a "meaningful" contribution to at least two UN SDGs, as determined by the Investment Manager.

The following ESG considerations are also integrated into the "bottom up" approach:

- Business Involvement Screens (Controversial Weapons, Thermal Coal, Oil & Gas, Gambling, Adult Entertainment, Tobacco, Alcohol)
- Violations of International Norms and Standards
- Controversies
- Poor Governance

The Fund is also supported by the Investment Manager's Engagement and Voting programmes.

INVESTMENT FOCUS

As of 30 September, 2026

Multiomic Technologies	**46.7%**
Precision Therapies	**27.3%**
Programmable Biology	**14.4%**
Neural Networks	**7.3%**
Next Gen Cloud	**2.4%**
Intelligent Devices	**1.2%**
Specialised Robots	**0.7%**
Total	**100.0%**

Total may not sum to 100% due to rounding

ARKG

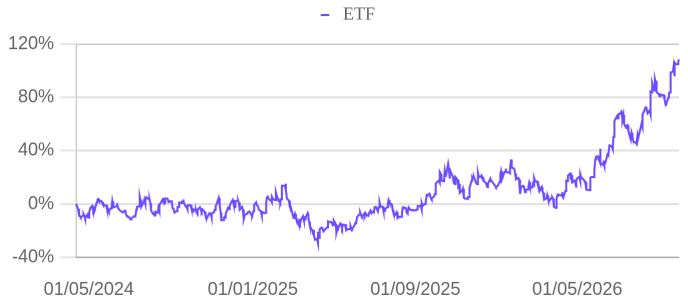
Information as of 30 September, 2026

ARK Genomic Revolution UCITS ETF

TRADING INFORMATION

Exchange	Currency	Listing Date	SEDOL	Bloomberg Ticker	RIC
Borsa Italiana	EUR	6 May 2024	BKVDTH7	ARKG IM	ARKG.MI
Deutsche Börse Xetra	EUR	18 April 2024	BKVDTG6	AAKG GY	AAKG.DE
CBOE Amsterdam	EUR	19 April 2024	BKVDTR7	ARKGx I2	ARKGx.DXE
London Stock Exchange	USD	19 April 2024	BKVDTC2	ARKG LN	ARKG.L
London Stock Exchange	GBP	19 April 2024	BKVDTF5	ARCG LN	ARCG.L
SIX Swiss Exchange	CHF	14 August 2024	BPCM0M0	ARKG SE	ARKG.S

PERFORMANCE RETURN



Cumulative	ETF
1 Month	14.25%
3 Months	26.91%
6 Months	101.27%
1 Year	93.98%
Since Inception	108.49%

Past performance is not a reliable indicator of future results and is not guaranteed. The ETF performance is the NAV per share and is net of all fees.

TOP 10 HOLDINGS

TWIST BIOSCIENCE CORP	10.0%
TEMPUS AI INC	9.2%
10X GENOMICS INC	8.0%
ABSCI CORP	5.1%
CRISPR THERAPEUTICS AG	5.0%
CAREDX INC	5.0%
ILLUMINA INC	4.9%
NATERA INC	4.9%
PERSONALIS INC	4.3%
ELI LILLY AND COMPANY	3.8%
	60.2%

TOP 10 COUNTRIES

United States	91.6%
Switzerland	5.0%
United Kingdom	2.9%
Total	99.5%

Total may not sum to 100% due to rounding

REGISTRATIONS

Austria	Ireland	Portugal
Denmark	Italy	Spain
Finland	Luxembourg	Sweden
France	Netherlands	Switzerland
Germany	Norway	United Kingdom

MARKET CAPITALISATION

Mega ($100B+)	3.8%
Large ($10 - $100B)	30.9%
Medium ($2 - $10B)	38.0%
Small ($300M - $2B)	25.9%
Micro ($50 - $300M)	0.9%
Total	99.5%

Total may not sum to 100% due to rounding

RESEARCH TEAM

Our research process is centred on utilising first-principles research on disruptive technologies to inform our stock selection. Our collaboration with industry leaders through our open-source research ecosystem helps us stay on the cutting edge of innovation. Our research team consists of individuals with deep domain expertise who are dedicated to specific technology areas. Stocks are analysed through these technological lenses to inform our investment theses. Innovation Research Team: Brett Winton (Chief Futurist), Dr. Charles Roberts (Chief Investment Strategist), and broad collaboration across the research team.

INVESTMENT TEAM

ARK Investment Management LLC is the investment manager for the ARK Genomic Revolution UCITS ETF and is responsible for the day-to-day investment management decisions. The team is highly experienced in all aspects relating to the management of a fund portfolio, including the execution of equities, foreign exchange, and cash management. The firm's investment process begins with top-down research to identify truly disruptive innovation and size the opportunity. This is followed by meticulous bottom-up research and analysis, leading to the selection of portfolio companies. ARK emphasises sizing the opportunity, stock selection, valuation, and risk management, ensuring a dynamic approach to capturing technologically enabled growth whilst navigating market volatility.

RISK FACTORS

- All financial investments involve an element of risk. Therefore, the value of your investment and the income from it will vary and your initial investment amount cannot be guaranteed.
- The Fund is subject to the risks associated with technology-focused companies including the risk of: (1) failure of new technologies and solutions to replace existing ones or to be approved by governments/regulators or to achieve their aim; (2) the failure of individual companies to successfully capitalise on such new technologies and reach profitability; (3) competition, including rapid developments in competitive technologies which may leave a company's products out-of-date; (4) government intervention or excessive regulation; and (5) legal attacks from competitors.
- Other risks: (1) The Fund also invests in small publicly traded companies which may be less mature and more vulnerable to adverse business or economic events and greater share price changes than larger companies, the wider technology sector or stock market. (2) The Fund invests in global equity securities and there is a risk of loss arising from exchange rate fluctuations or exchange control regulations. (3) The ICAV's depositary or other service providers may go bankrupt and fail to return money or property belonging to the Fund. (4) It may not always be possible to buy and sell the Fund's shares on a stock exchange or at prices closely reflecting the Fund's Net Asset Value. (5) There is no capital guarantee or protection on the value of the Fund and investors can lose all the capital invested in the Fund.

Marketing Communication / Financial Promotion:

Communications issued in the European Economic Area ("EEA"): This marketing communication has been issued by IQ EQ Fund Management (Ireland) Limited ("**IQ EQ**") acting in its capacity as the manager of the funds referenced in this document. IQ EQ is authorised and regulated by the Central Bank of Ireland. IQ EQ is registered in Ireland with registration number 148223. If you have any questions regarding this marketing communication or in connection with the funds referenced in this document, you may contact IQ-EQ by email (ManCo@iqeq.com) or telephone (+353 1 673 5480).

ARK Investment Management LLC ("**ARK US**") has been appointed as the investment manager of the funds. ARK US is registered with the US Securities and Exchange Commission as an Investment Advisor. The funds have appointed ARK Invest International Limited ("**ARK UK**") to provide various marketing support services to IQ-EQ in relation to the funds. ARK US and ARK UK are collectively referred to as the "**ARK Group**".

This is a marketing communication. This is not a contractually binding document. Please refer to the prospectus, fund-specific supplement and Key Investor Information Document (the "**KIID**") or Key Information Document (the "**KID**") (as relevant) of the relevant fund and do not base any final investment decision on this communication alone.

You should seek professional investment advice before making any decision to invest in a fund.

Capital at Risk Warning – Please note that the value of an investment and any income taken from it is not guaranteed and can go down as well as up. You may not get back the amount you originally invested. If your investment currency is different to the funds' currency of denomination (USD) or the currencies in which the funds' assets are denominated (which may be a range of different global currencies), then the return you will get from your investment may increase or decrease as a result of currency fluctuations between your investment currency and such currencies.

This marketing communication is not being provided to you on the basis that you are a client of IQ-EQ. IQ-EQ is not acting on your behalf and is not responsible for providing protections that would otherwise be afforded to clients of IQ-EQ. This marketing communication is for information purposes only. Its contents, and the fact of its distribution, do not constitute investment advice, nor do they constitute tax, legal or any other form of advice or service. It does not constitute or form part of any offer to issue or sell, or the solicitation of any offer to buy or sell any investment. It shall not form the basis of, or be relied upon in connection with, any contract. Information and opinions contained herein have been compiled from sources believed to be reliable but neither IQ-EQ nor ARK Group nor any of their respective partners makes any representations as to its accuracy or completeness. Any opinions, forecasts or estimates herein constitute a judgement that is subject to change without notice. IQ-EQ and ARK Group disclaim all liability and responsibility arising from any reliance placed by any person on the information contained within this marketing communication.

The information contained in this marketing communication is provided for informational purposes only and is subject to change without notice. This marketing communication does not constitute, either explicitly or implicitly, any provision of services or products by IQ-EQ or the ARK Group, and investors should determine for themselves whether a particular investment is suitable for their investment needs. All statements contained in this marketing communication made regarding companies or securities are strictly beliefs and points of view held by ARK Group, and are not endorsements by ARK Group of any company or security or recommendations by ARK Group to buy, sell or hold any security. Historical results are not indications of future results.

Source: unless stated otherwise all information has been produced by IQ-EQ (which many include information sourced by IQ-EQ from ARK US) and is accurate as at the date stated at the front end of this document.

No part of this material may be reproduced in any form, or referred to in any other publication, without the express written permission of IQ-EQ.

Figures refer to the past and past performance is not a reliable indicator of future results. Any projected, estimated or target performance information shown is not a reliable indicator of future results and is provided for illustrative purposes only. Projections are based on assumptions and current market conditions, which may change and may not be realised. Actual performance may differ materially from that shown. Projected performance does not represent actual performance and should not be relied upon as a guarantee or forecast of future returns.

Where simulated past performance is shown it must be noted that, f*igures refer to simulated past performance and past performance is not a reliable indicator of future performance.*

This information does not constitute investment advice or a recommendation and should not be relied upon for the purpose of making an investment decision.

ARK UCITS Funds

The ARK Genomic Revolution UCITS ETF is an actively managed fund an investment in which involves significant risk and is subject to the share price volatility of technology-focused companies, the risk of failure of new technologies to replace existing ones or to be approved by governments / regulators or to achieve their aim, the failure of individual companies to successfully capitalise on new technologies and reach profitability, competition, government intervention or excessive regulation, delays to approvals or lack of financial support; and legal attacks from competitors.

The fund(s) referenced above are offered by ARK Invest UCITS ICAV ("**UCITS ICAV**"). The UCITS ICAV is an open-ended Irish collective asset management vehicle which is constituted as an umbrella fund with variable capital and segregated liability between its sub-funds (each, a "**Fund**") and registered in Ireland with registration number C193010 and authorised by the Central Bank of Ireland as a UCITS.

The prospectus (including the fund-specific supplements and other supplements), the KIIDs/KIDs the constitutional document of the funds and the latest annual and semi-annual reports of the funds, the latest Net Asset Values of the funds and details of the underlying investments of the funds (together, the "**Fund Information**") are available at https://europe.ark-funds.com/. Any decision to invest must be based solely on the Fund Information. Investors should read the fund-specific risks in the relevant prospectus, fund-specific supplements and the KIIDs/KIDs. The indicative intra-day net asset values of the funds are available at http://www.solactive.com.

Germany: This is a financial promotion. The offering of the Shares of the UCITS ICAV has been notified to the German Financial Services Supervisory Authority (BaFin) in accordance with section 310 of the German Investment Code (KAGB). The Fund Information in English (and the KIDs in German language) can be obtained free of charge upon request from the Facilities Agent in Germany, FE fundinfo (Luxembourg) S.à.r.l., by contacting fa_gfr@fefundinfo.com or in paper form at the Facilities Agent's registered office, being 77 Rue du Fossé, 4123 Esch-sur-Alzette, Luxembourg.

Switzerland: This is an advertising document. The state of the origin of the fund is Ireland. In Switzerland, the Representative in Switzerland is 1741 Fund Solutions AG, Burggraben 16, CH-9000 St. Gallen. The Paying Agent in Switzerland is Tellco Bank AG, Bahnhofstrasse 4, 6430 Schwyz. The Fund Information may be obtained free of charge from the Representative. Past performance is no indication of current or future performance. The performance data do not take account of the commissions and costs incurred on the issue and redemption of units in the Fund.

Austria: This is a marketing communication and serves exclusively as information for investors. Under no circumstances may it replace advice regarding the acquisition and disposal of investments which may result in a total loss of the investment. The Fund Information in English (and the KIDs in German language) can be obtained free of charge upon request from the Facilities Agent in Austria, FE fundinfo (Luxembourg) S.à.r.l., by contacting fa_gfr@fefundinfo.com.

United Kingdom: In the United Kingdom this communication is directed exclusively at, and intended only for, investment professionals under Article 19 of the Financial Promotions Order and high net worth companies, unincorporated associations and other persons falling within Article 49 of the Financial Promotions Order. This communication is not intended for retail investors.

United States: This marketing communication and its contents are not directed at any person that is resident in the United States ("**US person**"), and no offer or invitation is made to any US person to acquire or sell any service, product or security referred to. The provision of any information in this marketing communication does not constitute an offer to US persons to purchase securities.

The funds are not offered or aimed at residents in any country in which (a) the funds are not authorised or registered for distribution and where to do so is contrary to the relevant country's securities laws, (b) the dissemination of information relating to the funds via the internet is forbidden and IQ EQ is not authorised or qualified to make such offer or invitation. The funds may be registered or otherwise approved for distribution to the public or certain categories of investors in one or more jurisdictions. Where this is the case, a country-specific web page and copies of the Fund Information will be available at https://europe.ark-funds.com/. The fact of such a registration or approval, however, does not mean that any regulator (including the FCA) has determined that the funds are suitable for all categories of investors.

Source: unless stated otherwise all information has been produced by IQ-EQ (which many include information sourced by IQ-EQ from ARK UK and ARK US) and is accurate as at the date stated at the front end of this document. No part of this material may be reproduced in any form, or referred to in any other publication, without the express written permission of IQ-EQ.

A summary of investor rights is available at https://europe.ark-funds.com/documents/.