



# LUSA

## RIZE USA ENVIRONMENTAL IMPACT UCITS ETF

# RIZE

BY ARK INVEST

### FUND DESCRIPTION

The RIZE USA Environmental Impact UCITS ETF ("LUSA") seeks to invest in the most innovative and impactful US companies that potentially stand to benefit from developing and applying solutions that address the world's most pressing climatic and environmental challenges. This includes leaders and innovators across clean water, EVs, renewables and hydrogen, energy efficiency, waste and the circular economy and nature-based solutions. LUSA has been designed to address the six environmental objectives set out in the EU Taxonomy for Sustainable Activities.

### WHY INVEST IN LUSA?



**Favourable Growth Prospects:** LUSA is Europe's first US environmental impact ETF, and provides investors with exposure to US companies that are developing innovative and impactful solutions in clean water, EVs, renewables and hydrogen, energy efficiency, waste and the circular economy and nature-based solutions.



**Powered by Sustainable Market Strategies®:** LUSA is purpose-built in collaboration with Sustainable Market Strategies, an independent ESG intelligence firm based in Montreal, Canada, and leverages their unique insights and proprietary classification system of US companies that are aligned with the environmental objectives set out in the EU Taxonomy for Sustainable Activities.



**Unconstrained Approach:** LUSA's composition transcends classic sector and size classifications by tracking a US theme.



**ETF Efficiency:** In a single trade, LUSA delivers access to US companies that are favourably positioned to ride the tailwinds of the environmental impact opportunities theme.

### FUND DETAILS

As of 30 April, 2026

ETF	<b>RIZE USA Environmental Impact UCITS ETF</b>
Type	<b>Index Equity ETF</b>
ISIN	<b>IE000PY7F8J9</b>
Fund Size (AUM)	<b>\$8.4 Million</b>
TER	<b>0.45%</b>
Inception Date	<b>17 August 2023</b>
SFDR Classification	<b>Article 9</b>
Holdings	<b>93</b>
Base Currency	<b>USD</b>
Income Treatment	<b>Accumulating</b>
Replication	<b>Physical - Full Replication</b>
Index Ticker	<b>FXBYLUSA</b>
Domicile	<b>Ireland</b>
UCITS	<b>Yes</b>
Exposure	<b>Global Equities</b>
Issuer	<b>ARK Invest UCITS ICAV</b>
Promoter	<b>ARK Invest International Ltd</b>
Manager	<b>IQ EQ Fund Management (Ireland) Ltd</b>
Investment Manager	<b>ARK Investment Management LLC</b>
Depository / Custodian	<b>Northern Trust Fiduciary Services (Ireland) Ltd</b>
ISA Eligible (UK)	<b>Yes</b>
SIPP Eligible (UK)	<b>Yes</b>
Reporting Fund Status (UK)	<b>Yes</b>
Equity Fund (DE)	<b>Yes</b>

### SUSTAINABILITY PROFILE

As of 30 April, 2026

MSCI ESG Rating **A**

**Engagement and Voting:** The Fund is supported by the engagement and voting programs of the Rize Future First Policy.

### SCREENING CRITERIA

- Thermal Coal
- Oil and Gas
- Nuclear
- Controversial Weapons & Firearms
- Adult Entertainment
- Military Contracting
- Gambling
- Alcohol
- Tobacco
- Bribery & Corruption
- UN Global Compact & OECD Guidelines Violations
- Controversies
- Poor Governance

### INVESTMENT FOCUS

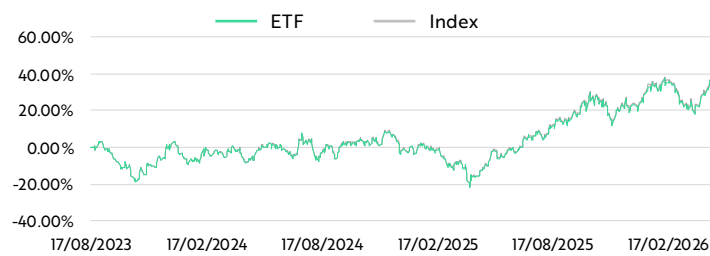
As of 30 April, 2026

Energy Efficiency Solutions	<b>18.0%</b>
Circular Economy Solutions	<b>17.4%</b>
Clean Water	<b>16.6%</b>
Renewable Energy Equipment Manufacturers	<b>13.9%</b>
Electric Vehicles and Green Transport	<b>10.4%</b>
Pollution Control	<b>6.8%</b>
Hydrogen and Alternative Fuels	<b>6.5%</b>
Climate Resilience Solutions	<b>5.7%</b>
Renewable Energy Generation	<b>2.4%</b>
Nature-Based Solutions	<b>2.2%</b>

## TRADING INFORMATION

Exchange	Currency	Listing Date	SEDOL	Bloomberg Ticker	RIC
Borsa Italiana	EUR	14-Jun-24	BS4CNG4	VITU IM	VITU.MI
Deutsche Börse Xetra	EUR	25-Aug-23	BLD19S7	RIZJ GY	RIZJ.DE
London Stock Exchange	USD	29-Aug-23	BLD1921	LUSA LN	RILUSA.L
London Stock Exchange	GBP	29-Aug-23	BLD19Y3	UVNG LN	UVNG.L
SIX Swiss Exchange	CHF	05-Mar-24	BLD19T8	LUSA SE	LUSA.S

## PERFORMANCE RETURN



Cumulative	ETF	Index	Difference
Year to date	13.94%	14.09%	-0.15%
1 month	12.14%	12.21%	-0.07%
3 months	4.67%	4.76%	-0.09%
6 months	8.88%	9.07%	-0.19%
1 year	54.80%	55.48%	-0.68%
Since Inception	36.41%	37.49%	-1.08%

Past performance is not a reliable indicator of future results and is not guaranteed. The ETF performance is the NAV per share and is net of all fees.

## TOP 10 HOLDINGS

Planet Labs Pbc	3.2%
Bloom Energy Corp	2.3%
On Semiconductor Corp	1.9%
Darling Ingredients Inc	1.9%
Green Plains Inc	1.7%
Dana Inc	1.7%
Ge Vernova Inc	1.7%
Quanta Svcs Inc	1.6%
Generac Hldgs Inc	1.5%
Solstice Advanced Matls Inc	1.5%
	19.0%

## REGISTRATIONS

Austria	Italy	Sweden
Denmark	Luxembourg	Switzerland
Finland	Netherlands	United Kingdom
Germany	Norway	
Ireland	Spain	

## TOP 10 COUNTRIES

Total may not sum to 100% due to rounding

United States	98.6%
Israel	1.2%
	99.8%

## MARKET CAPITALISATION

Mega (\$100B+)	4.0%
Large (\$10 - \$100B)	45.2%
Medium (\$2 - \$10B)	36.1%
Small (\$300M - \$2B)	13.4%
Micro (\$50 - \$300M)	1.3%

## RISK FACTORS

- The Fund may be subject to the risks associated with companies operating in the renewable energy sectors including, but not limited to, fluctuating energy prices which impact upon company revenues, weather fluctuations that impact the ability to generate electricity, the risk that existing regulatory frameworks (the benefits of which might include commitments to pay premium prices, priority access to electricity grids, support for the necessary infrastructure investments and guarantees of purchases of renewable energy output) become less supportive and the cost of producing renewable energy which can be high relative to conventional energy sources / technologies (which pose a risk to the long term economic viability of renewable energy ventures).
- The Fund may also be subject to the risks associated with companies developing new renewable energy technologies and other technologies associated with energy efficiency, electrification of vehicles and other modes of transport, water treatment and purification, waste management and recycling and pollution control, including the risk arising from rapidly changing technologies and obsolescence of existing products; fierce competition from competitors with lower costs; aggressive pricing and reduced profit margins; the loss of patent, copyright and trademark protections; cyclical market patterns; evolving industry standards, evolving regulatory frameworks; and frequent new product introductions.
- Some companies may be smaller and less experienced companies, with limited product lines, markets or financial resources and fewer experienced management or marketing personnel. They may experience extreme price and volume fluctuations that are often unrelated to their operating performance.
- The Index is typically comprised of a mix of micro, small, mid and large capitalisation companies. Micro and small capitalisation companies may be more vulnerable to adverse business or economic events than larger, more established companies and may underperform other segments of the market or the equity market as a whole. Securities of micro and small capitalisation companies generally trade in lower volumes, are less liquid and are often more vulnerable to market volatility and greater and more unpredictable price changes than larger capitalisation stocks or the stock market as a whole.
- Other: (1) Third party service providers (such as the ICAV's depositary) may go bankrupt and fail to pay money due to the Fund or return property belonging to the Fund. (2) If the Index provider stops calculating the Index or if the Fund's license to replicate the Index is terminated, the Fund may have to be closed. (3) It may not always be possible to buy and sell the Fund's Shares on a stock exchange or at prices closely reflecting the Net Asset Value. (4) There is no capital guarantee or protection on the value of the Fund and investors can lose all the capital invested in the Fund. (5) Please refer to the "Risk Factors" section of the ICAV's Prospectus and the Fund Supplement.

### Marketing Communication / Financial Promotion:

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The RIZE USA Environmental Impact UCITS ETF replicates the Solactive RIZE ETF USA Environmental Impact Index. An investment in the Fund involves significant risk and is subject to the volatility of companies involved in the renewable energy, energy efficiency, electric vehicles, water, waste and recycling sectors and associated technologies.

The fund(s) referenced above are offered by ARK Invest UCITS ICAV ("UCITS ICAV"). The UCITS ICAV is an open-ended Irish collective asset management vehicle which is constituted as an umbrella fund with variable capital and segregated liability between its sub-funds (each, a "Fund") and registered in Ireland with registration number C193010 and authorised by the Central Bank of Ireland as a UCITS. The prospectus (including the fund-specific supplements and other supplements), the KIIDs/KIDs the constitutional document of the funds and the latest annual and semi-annual reports of the funds, the latest Net Asset Values of the funds and details of the underlying investments of the funds (together, the "Fund Information") are available at <https://europe.ark-funds.com/>. Any decision to invest must be based solely on the Fund Information. Investors should read the fund-specific risks in the relevant prospectus, fund-specific supplements and the KIIDs/KIDs. The indicative intra-day net asset values of the funds are available at <http://www.solactive.com>. **Germany:** This is a financial promotion. The offering of the Shares of the UCITS ICAV has been notified to the German Financial Services Supervisory Authority (BaFin) in accordance with section 310 of the German Investment Code (KAGB). The Fund Information in English (and the KIIDs in German language) can be obtained free of charge upon request from the Facilities Agent in Germany, FE fundinfo (Luxembourg) S.à.r.l., by contacting [fa\\_gfr@fefundinfo.com](mailto:fa_gfr@fefundinfo.com) or in paper form at the Facilities Agent's registered office, being 77 Rue du Fossé, 4123 Esch-sur-Alzette, Luxembourg. **Switzerland:** This is an advertising document. The state of the origin of the fund is Ireland. In Switzerland, the Representative in Switzerland is 1741 Fund Solutions AG, Burggraben 16, CH-9000 St. Gallen. The Paying Agent in Switzerland is Tellico Bank AG, Bahnhofstrasse 4, 6430 Schwyz. The Fund Information may be obtained free of charge from the Representative. Past performance is no indication of current or future performance. The performance data do not take account of the commissions and costs incurred on the issue and redemption of units in the Fund. **Austria:** This is a marketing communication and serves exclusively as information for investors. Under no circumstances may it replace advice regarding the acquisition and disposal of investments which may result in a total loss of the investment. The Fund Information in English (and the KIIDs in German language) can be obtained free of charge upon request from the Facilities Agent in Austria, FE fundinfo (Luxembourg) S.à.r.l., by contacting [fa\\_gfr@fefundinfo.com](mailto:fa_gfr@fefundinfo.com). **United Kingdom:** In the United Kingdom this communication is directed exclusively at, and intended only for, investment professionals under Article 19 of the Financial Promotions Order and high net worth companies, unincorporated associations and other persons falling within Article 49 of the Financial Promotions Order. This communication is not intended for retail investors. **United States:** This marketing communication and its contents are not directed at any person that is resident in the United States ("US person"), and no offer or invitation is made to any US person to acquire or sell any service, product or security referred to. The provision of any information in this marketing communication does not constitute an offer to US persons to purchase securities.