NFRA

Rize Global Sustainable Infrastructure UCITS ETF

RIZE BY ARK INVEST

FUND DESCRIPTION

The Rize Global Sustainable Infrastructure UCITS ETF ("NFRA") seeks to invest in the foremost companies that potentially stand to benefit from the worldwide effort to support infrastructure development in a way that balances economic, environmental and social objectives. These are companies involved in maintaining and upgrading the existing infrastructure of more industrialised economies, as well as companies developing new infrastructure required for the economic advancement of less industrialised economies. This includes companies involved in the development of the environmental and social infrastructure needed for our transition to a greener, fairer economy.

WHY INVEST IN NFRA?

Favourable Growth Prospects: NFRA is Europe's first global sustainable infrastructure ETF, and provides investors with exposure to companies that are supporting global infrastructure development in a way that balances economic, environmental and social objectives.

Powered by Sustainable Market Strategies®: NFRA is purpose-built in collaboration with Sustainable Market Strategies, an independent ESG intelligence firm based in Montreal, Canada, and leverages their unique insights and proprietary classification system of companies that are contributing to environmental and social objectives of the EU Taxonomy for Sustainable Activities and the UN Sustainable Development Goals in the geographical regions they serve.

Unconstrained Approach: NFRA's composition transcends classic sector, size and geographic classifications by tracking a global theme.

ETF Efficiency: In a single trade, NFRA delivers access to dozens of companies that are favourably positioned to ride the tailwinds of the global sustainable infrastructure theme.

FUND DETAILS

As of 30 September, 2026

ETF	**Rize Global Sustainable Infrastructure UCITS ETF**
Type	**Index Equity ETF**
ISIN	**IE000QUCVEN9**
Fund Size (AUM)	**$87.40m**
TER	**0.45%**
Inception Date	**17 Aug 2023**
SFDR Classification	**Article 9**
Holdings	**81**
Base Currency	**USD**
Income Treatment	**Gross Distributing**
Exposure	**Global Equities**
Domicile	**Ireland**
UCITS	**Yes**
Issuer	**ARK Invest UCITS ICAV**
Promoter	**ARK Invest International Ltd**
Manager	**IQ EQ Fund Management (Ireland) Limited**
Investment Manager	**ARK Investment Management LLC**
Depositary / Custodian	**Northern Trust Fiduciary Services (Ireland) Limited**
ISA Eligible (UK)	**Yes**
SIPP Eligible (UK)	**Yes**
Reporting Fund Status (UK)	**Yes**
Equity Fund (DE)	**Yes**
Replication	**Physical - Full Replication**
Index Ticker	**FXBYNFRA**

SUSTAINABILITY PROFILE

As of 30 September, 2026

MSCI ESG Rating	**AA**

Engagement and Voting: The Fund is supported by the engagement and voting programmes of the Rize Future First Policy.

SCREENING CRITERIA

- Adult Entertainment
- Alcohol
- Civilian Firearms
- Controversial Weapons
- Controversies
- Embryonic Stem Cell Research
- Gambling
- Genetic Engineering
- International Norms & Standards Violations
- Military Contracting
- Nuclear Energy
- Nuclear Weapons
- Oil & Gas
- Poor governance
- Thermal & Metallurgical Coal
- Tobacco
- Weapons

INVESTMENT FOCUS

As of 30 September, 2026

Water Utilities	**15.6%**
Elderly Homes	**11.9%**
Waste Management	**11.5%**
Passenger Transportation	**10.8%**
Renewable Energy Utilities	**9.7%**
Electricity Transmission & Distribution	**9.4%**
Health Care	**9.2%**
Data Centres	**5.7%**
Airports	**4.9%**
Telecom Infrastructure	**4.1%**
Toll Roads	**3.6%**
Rail (Freight)	**1.8%**
Ports	**1.6%**
Total	**99.8%**

Total may not sum to 100% due to rounding

NFRA

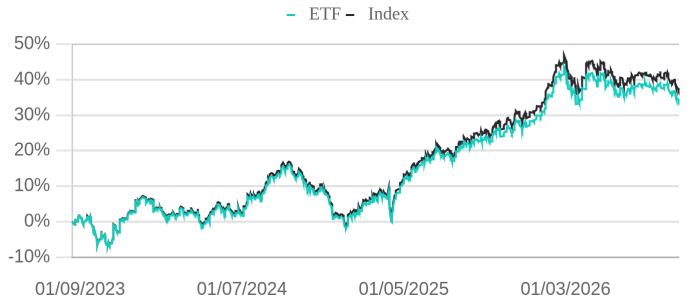
Information as of 30 September, 2026

Rize Global Sustainable Infrastructure UCITS ETF

TRADING INFORMATION

Exchange	Currency	Listing Date	SEDOL	Bloomberg Ticker	RIC
Borsa Italiana	EUR	14 June 2024	BS4CNH5	NFRA IM	NFRA.MI
Deutsche Börse Xetra	EUR	25 August 2023	BLD19V0	RIZD GY	RIZD.DE
London Stock Exchange	USD	29 August 2023	BLD1932	NFRA LN	NFRA.L
London Stock Exchange	GBP	29 August 2023	BLD19Z4	BRIK LN	BRIK.L
SIX Swiss Exchange	CHF	5 March 2024	BLD19T8	NFRA SE	NFRA.S

PERFORMANCE RETURN



Cumulative	ETF	Index	Difference
1 Month	-2.22%	-2.06%	-0.16%
3 Months	-1.45%	-1.34%	-0.11%
6 Months	-0.47%	-0.43%	-0.05%
1 Year	8.66%	9.45%	-0.79%
Since Inception	34.51%	37.57%	-3.06%

Past performance is not a reliable indicator of future results and is not guaranteed. The ETF performance is the NAV per share and is net of all fees.

TOP 10 HOLDINGS

ACCIONA SA	2.0%
CIA SANEAMENTO BASICO DE SAO PAULO	1.9%
CIA SANEAMENTO MINAS GERAIS	1.9%
MOTIVA INFRAESTRUTURA DE MOBILIDADE	1.9%
FRESENIUS MEDICAL CARE AG	1.9%
EDP RENEWABLES SA	1.9%
UNITED UTILITIES GROUP PLC	1.9%
COMFORTDELGRO CORPORATION	1.8%
CPFL ENERGIA SA	1.8%
SEVERN TRENT	1.8%
	18.8%

TOP 10 COUNTRIES

United States	28.3%
Brazil	14.0%
United Kingdom	8.7%
Hong Kong	6.1%
Canada	5.2%
Japan	5.1%
Mexico	4.9%
Singapore	4.1%
Spain	3.9%
Australia	3.6%
Total	83.9%

Total may not sum to 100% due to rounding

REGISTRATIONS

Austria	Ireland	Spain
Denmark	Italy	Sweden
Finland	Luxembourg	Switzerland
France	Netherlands	United Kingdom
Germany	Norway	

MARKET CAPITALISATION

Mega ($100B+)	3.3%
Large ($10 - $100B)	26.9%
Medium ($2 - $10B)	50.0%
Small ($300M - $2B)	19.6%
Micro ($50 - $300M)	0.0%
Total	99.8%

Total may not sum to 100% due to rounding

RISK FACTORS

- The Fund may be subject to renewable energy sector risks including, fluctuating energy prices which impact revenues, weather fluctuations that impact electricity generation, the risk that existing supportive regulatory frameworks become less supportive and the cost of producing renewable energy (which pose a risk to the long term economic viability of renewable energy ventures).
- The Fund may be subject to the risks associated with companies developing technologies associated with renewable energy, energy efficiency, electrification of vehicles and transport, water treatment and purification and waste management, recycling and pollution control, including the risk arising from rapidly changing technologies and obsolescence of existing products; fierce competition from competitors with lower costs; aggressive pricing and reduced profit margins; the loss of patent, copyright and trademark protections; cyclical market patterns; evolving industry standards, evolving regulatory frameworks; and frequent new product introductions.
- The Fund may also be subject to emerging market risks including: (i) political and economic risks; (ii) counterparty risk and liquidity risks; (iii) legal risks; (iv) reporting and valuation risks; (v) exchange control and repatriation risks; (vi) settlement risks; and (vii) custody risks.
- Some companies may be smaller and less experienced companies, with limited product lines, markets or financial resources and fewer experienced management or marketing personnel. They may experience extreme price and volume fluctuations that are often unrelated to their operating performance.
- The Index is typically comprised of a mix of micro, small, mid and large capitalisation companies. Micro and small capitalisation companies may be more vulnerable to adverse business or economic events than larger, more established companies and may underperform other segments of the market or the equity market as a whole. Securities of micro and small capitalisation companies generally trade in lower volumes, are less liquid and are often more vulnerable to market volatility and greater and more unpredictable price changes than larger capitalisation stocks or the stock market as a whole.
- Other: (1) Third party service providers (such as the ICAV's depositary) may go bankrupt and fail to pay money due to the Fund or return property belonging to the Fund. (2) If the Index provider stops calculating the Index or if the Fund's license to replicate the Index is terminated, the Fund may have to be closed. (3) It may not always be possible to buy and sell the Fund's Shares on a stock exchange or at prices closely reflecting the Net Asset Value. (4) There is no capital guarantee or protection on the value of the Fund and investors can lose all the capital invested in the Fund. (5) Please refer to the "Risk Factors" section of the ICAV's Prospectus and the Fund Supplement.

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ARK UCITS Funds

The Rize Global Sustainable Infrastructure UCITS ETF replicates the Solactive RIZE ETF Global Sustainable Infrastructure Index. An investment in the Fund involves significant risk and is subject to the volatility of companies involved in the renewable energy, energy efficiency, electric vehicles, water, waste and recycling sectors and associated technologies and emerging market political and economic risks.

The fund(s) referenced above are offered by ARK Invest UCITS ICAV ("**UCITS ICAV**"). The UCITS ICAV is an open-ended Irish collective asset management vehicle which is constituted as an umbrella fund with variable capital and segregated liability between its sub-funds (each, a "**Fund**") and registered in Ireland with registration number C193010 and authorised by the Central Bank of Ireland as a UCITS.

The prospectus (including the fund-specific supplements and other supplements), the KIIDs/KIDs the constitutional document of the funds and the latest annual and semi-annual reports of the funds, the latest Net Asset Values of the funds and details of the underlying investments of the funds (together, the "**Fund Information**") are available at https://europe.ark-funds.com/. Any decision to invest must be based solely on the Fund Information. Investors should read the fund-specific risks in the relevant prospectus, fund-specific supplements and the KIIDs/KIDs. The indicative intra-day net asset values of the funds are available at http://www.solactive.com.

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