



FUND DESCRIPTION

The ARK Innovation UCITS ETF ("ARKK") seeks to invest in companies involved in "disruptive innovation", defined as the introduction of a technologically enabled new product or service that potentially changes the way the world works. These are companies that rely on or benefit from the development of new products or services, technological improvements and advancements in scientific research relating to genomics; automation and manufacturing, transportation, energy, artificial intelligence and materials; the increased use of shared technology, infrastructure and services; and technologies that make financial services more efficient.

WHY INVEST IN ARKK?



Exposure to Innovation: Aims for thematic multi-cap exposure to innovation across sectors. ARK believes the securities held in ARKK present the best risk-reward opportunities from ARK's innovation-based themes.



Growth Potential: Aims to capture long-term growth with low correlation to relative returns to traditional growth strategies and negative correlation to value strategies.



Tool for Diversification: Offers a tool for diversification due to little overlap with traditional indices. It can be a complement to traditional value/growth strategies.



Grounded in Research: Combines top-down and bottom-up research in its portfolio management to identify innovative companies and convergence across markets.



Cost Effective: Provides a lower cost alternative to mutual funds with true active management in an Exchange Traded Fund (ETF) that invests in rapidly moving themes.

SUSTAINABILITY PROFILE

As of 31 December, 2025

MSCI ESG Rating	BBB
-----------------	------------

In both its "top down" and "bottom up" investment approaches, the Investment Manager evaluates environmental, social and governance ("ESG") considerations.

The "top down" approach explicitly selects for technologies that the Investment Manager anticipates will have high degrees of impact on the UN SDGs. For a company to be eligible for selection, it must be involved in a technology platform that makes a "meaningful" contribution to at least two UN SDGs, as determined by the Investment Manager.

The following ESG considerations are also integrated into the "bottom up" approach:

- Business Involvement Screens (Controversial Weapons, Thermal Coal, Oil & Gas, Gambling, Adult Entertainment, Tobacco, Alcohol)
- Violations of International Norms and Standards
- Controversies
- Poor Governance

The Fund is also supported by the Investment Manager's Engagement and Voting programmes.

FUND DETAILS

As of 31 December, 2025

ETF	ARK Innovation UCITS ETF
Type	Active Equity ETF
ISIN	IE000GA3D489
Fund Size (AUM)	\$337.4 Million
TER	0.75%
Inception Date	12 April 2024
SFDR Classification	Article 8
Holdings	42
Base Currency	USD
Income Treatment	Accumulating
Exposure	Global Equities
Domicile	Ireland
UCITS	Yes
Portfolio Manager	Catherine D. Wood
Associate Portfolio Managers	Dan White, Nicholas Grous
Issuer	ARK Invest UCITS ICAV
Promoter	ARK Invest International Ltd
Manager	IQ EQ Fund Management (Ireland) Ltd
Investment Manager	ARK Investment Management LLC
Depository / Custodian	Northern Trust Fiduciary Services (Ireland) Ltd
ISA Eligible (UK)	Yes
SIPP Eligible (UK)	Yes
Reporting Fund Status (UK)	Yes
Equity Fund (DE)	Yes

TECHNOLOGY BREAKDOWN

As of 31 December, 2025

Next Gen Cloud	14.3%
Autonomous Mobility	11.8%
Intelligent Devices	11.6%
Multiomic Technologies	11.2%
Digital Wallets	10.8%
Precision Therapies	10.6%
Neural Networks	8.4%
Cryptocurrencies	7.4%
Smart Contracts	4.5%
Advanced Battery Technology	3.8%
Humanoid Robots	2.8%
Programmable Biology	2.7%
Reusable Rockets	0.2%

TRADING INFORMATION

Exchange	Currency	Listing Date	SEDOL	Bloomberg Ticker	RIC
Borsa Italiana	EUR	06 May 2024	BKVDTB1	ARKK IM	ARKK.MI
Deutsche Börse Xetra	EUR	18 April 2024	BKVDT99	ARXK GY	ARXK.DE
CBOE Amsterdam	EUR	19 April 2024	BKVDT5	ARKKx I2	ARKKx.DXE
London Stock Exchange	USD	19 April 2024	BKVDT66	ARKK LN	ARKK.L
London Stock Exchange	GBP	19 April 2024	BKVDT88	ARCK LN	ARCK.L
SIX Swiss Exchange	CHF	14 August 2024	BPCM0G4	ARKK SE	ARKK.S

PERFORMANCE RETURN



Past performance is not a reliable indicator of future results and is not guaranteed. The ETF performance is the NAV per share and is net of all fees.

Cumulative	ETF
Year to date	38.65%
1 month	-3.52%
3 months	-6.92%
6 months	10.58%
1 year	38.65%
Since Inception	66.42%

TOP 10 HOLDINGS

Tesla Inc	8.9%
Roku Inc	5.9%
Robinhood Mkts Inc	5.8%
Coinbase Global Inc	5.0%
Crispr Therapeutics Ag	5.0%
Advanced Micro Devices Inc	4.9%
Shopify Inc	4.6%
Tempus Ai Inc	3.7%
Beam Therapeutics Inc	3.6%
Roblox Corp	3.3%
50.8%	

TOP 10 COUNTRIES

United States	84.5%
Canada	5.7%
Switzerland	5.0%
Cayman Islands	1.6%
Taiwan	1.3%
98.1%	

REGISTRATIONS

Austria	Italy	Sweden
Denmark	Luxembourg	Switzerland
Finland	Netherlands	United Kingdom
Germany	Norway	
Ireland	Spain	

MARKET CAPITALISATION

Mega (\$100B+)	36.4%
Large (\$10 - \$100B)	38.6%
Medium (\$2 - \$10B)	20.8%
Small (\$300M - \$2B)	4.2%
Micro (\$50 - \$300M)	0.0%

RESEARCH TEAM

Our research process is centered on utilising first principles research on disruptive technologies to inform our stock selection. Our collaboration with industry leaders through our open source research ecosystem helps us stay on the cutting edge of innovation. Our research team consists of individuals with deep domain expertise that are dedicated to specific technology areas. Stocks are analysed through these lenses of technology to inform our investment theses. Innovation Research Team: Brett Winton (Chief Futurist), Dr. Charles Roberts (Chief Investment Strategist), and broad collaboration across the research team.

INVESTMENT TEAM

ARK Investment Management LLC is the investment manager for the ARK Innovation UCITS ETF and is responsible for the day-to-day investment management decisions. The team is highly experienced in all aspects relating to the management of a fund portfolio, including the execution of equities, foreign exchange and cash management. The firm's investment process begins with top-down research to identify truly disruptive innovation and sizing the opportunity. This is followed by meticulous bottom-up research and analysis, leading to the selection of portfolio companies. ARK emphasises sizing the opportunity, stock selection, valuation and risk management, ensuring a dynamic approach to capturing technologically-enabled growth whilst navigating market volatility.

RISK FACTORS

- All financial investments involve an element of risk. Therefore, the value of your investment and the income from it will vary and your initial investment amount cannot be guaranteed.
- The Fund is subject to the risks associated with technology-focused companies including the risk of: (1) failure of new technologies and solutions to replace existing ones or to be approved by governments/regulators or to achieve their aim; (2) the failure of individual companies to successfully capitalise on such new technologies and reach profitability; (3) competition, including rapid developments in competitive technologies (which may leave a company's products out-of-date); (4) government intervention or excessive regulation; and (5) legal attacks from competitors.
- Other: (1) The Fund also invests in small publicly-traded companies which may be less mature and more vulnerable to adverse business or economic events and greater share price changes than larger companies, the wider technology sector or stock market. (2) The Fund invests in global equity securities and there is a risk of loss arising from exchange rate fluctuations or exchange control regulations. (3) The ICAV's depositary or other service providers may go bankrupt and fail to return money or property belonging to the Fund. (4) It may not always be possible to buy and sell the Fund's shares on a stock exchange or at prices closely reflecting the Fund's Net Asset Value. (5) There is no capital guarantee or protection on the value of the Fund and investors can lose all the capital invested in the Fund.

Marketing Communication / Financial Promotion:

Communications issued in the European Economic Area ("EEA"): This marketing communication has been issued by IQ EQ Fund Management (Ireland) Limited ("IQ EQ") acting in its capacity as the manager of the funds referenced in this document. IQ EQ is authorised and regulated by the Central Bank of Ireland. IQ EQ is registered in Ireland with registration number 148223. If you have any questions regarding this marketing communication or in connection with the funds referenced in this document, you may contact IQ-EQ by email (ManCo@iqeq.com) or telephone (+353 1 673 5480).

ARK Investment Management LLC ("ARK US") has been appointed as the investment manager of the funds. ARK US is registered with the US Securities and Exchange Commission as an Investment Advisor. The funds have appointed ARK Invest International Limited ("ARK UK") to provide various marketing support services to IQ-EQ in relation to the funds. ARK US and ARK UK are collectively referred to as the "ARK Group".

This is a marketing communication. This is not a contractually binding document. Please refer to the prospectus, fund-specific supplement and Key Investor Information Document (the "KIID") or Key Information Document (the "KID") (as relevant) of the relevant fund and do not base any final investment decision on this communication alone. **You should seek professional investment advice before making any decision to invest in a fund.** **Capital at Risk Warning** – Please note that the value of an investment and any income taken from it is not guaranteed and can go down as well as up. You may not get back the amount you originally invested. If your investment currency is different to the funds' currency of denomination (USD) or the currencies in which the funds' assets are denominated (which may be a range of different global currencies), then the return you will get from your investment may increase or decrease as a result of currency fluctuations between your investment currency and such currencies. This marketing communication is not being provided to you on the basis that you are a client of IQ-EQ. IQ-EQ is not acting on your behalf and is not responsible for providing protections that would otherwise be afforded to clients of IQ-EQ. This marketing communication is for information purposes only. Its contents, and the fact of its distribution, do not constitute investment advice, nor do they constitute tax, legal or any other form of advice or service. It does not constitute or form part of any offer to issue or sell, or the solicitation of any offer to buy or sell any investment. It shall not form the basis of, or be relied upon in connection with, any contract. Information and opinions contained herein have been compiled from sources believed to be reliable but neither IQ-EQ nor ARK Group nor any of their respective partners makes any representations as to its accuracy or completeness. Any opinions, forecasts or estimates herein constitute a judgement that is subject to change without notice. IQ-EQ and ARK Group disclaim all liability and responsibility arising from any reliance placed by any person on the information contained within this marketing communication. The information contained in this marketing communication is provided for informational purposes only and is subject to change without notice. This marketing communication does not constitute, either explicitly or implicitly, any provision of services or products by IQ-EQ or the ARK Group, and investors should determine for themselves whether a particular investment is suitable for their investment needs. All statements contained in this marketing communication made regarding companies or securities are strictly beliefs and points of view held by ARK Group, and are not endorsements by ARK Group of any company or security or recommendations by ARK Group to buy, sell or hold any security. Historical results are not indications of future results. No part of this material may be reproduced in any form, or referred to in any other publication, without the express written permission of IQ-EQ. **Figures** refer to the past and past performance is not a reliable indicator of future results. Any projected, estimated or target performance information shown is not a reliable indicator of future results and is provided for illustrative purposes only. Projections are based on assumptions and current market conditions, which may change and may not be realised. Actual performance may differ materially from that shown. Projected performance does not represent actual performance and should not be relied upon as a guarantee or forecast of future returns. Where simulated past performance is shown it must be noted that, figures refer to simulated past performance and past performance is not a reliable indicator of future performance. This information does not constitute investment advice or a recommendation and should not be relied upon for the purpose of making an investment decision.

The ARK Innovation UCITS ETF, ARK Artificial Intelligence & Robotics UCITS ETF, ARK Genomic Revolution UCITS ETF and ARK Space & Defence Innovation UCITS ETF are actively managed funds, which involves significant risk and is subject to the share price volatility of technology-focused companies, the risk of failure of new technologies to replace existing ones or to be approved by governments / regulators or to achieve their aim, the failure of individual companies to successfully capitalise on new technologies and reach profitability, competition, government intervention or excessive regulation, delays to approvals or lack of financial support; and legal attacks from competitors.

The fund(s) referenced above are offered by ARK Invest UCITS ICAV ("UCITS ICAV"). The UCITS ICAV is an open-ended Irish collective asset management vehicle which is constituted as an umbrella fund with variable capital and segregated liability between its sub-funds (each, a "Fund") and registered in Ireland with registration number CI93010 and authorised by the Central Bank of Ireland as a UCITS. The prospectus (including the fund-specific supplements and other supplements), the KIIDs/KIDs the constitutional document of the funds and the latest annual and semi-annual reports of the funds, the latest Net Asset Values of the funds and details of the underlying investments of the funds (together, the "Fund Information") are available at <https://europe.ark-funds.com/>. Any decision to invest must be based solely on the Fund Information. Investors should read the fund-specific risks in the relevant prospectus, fund-specific supplements and the KIIDs/KIDs. The indicative intra-day net asset values of the funds are available at <http://www.solactive.com>. **Germany:** This is a financial promotion. The offering of the Shares of the UCITS ICAV has been notified to the German Financial Services Supervisory Authority (BaFin) in accordance with section 310 of the German Investment Code (KAGB). The Fund Information in English (and the KIDs in German language) can be obtained free of charge upon request from the Facilities Agent in Germany, FE fundinfo (Luxembourg) Sàrl, by contacting fa_gfr@fefundinfo.com or in paper form at the Facilities Agent's registered office, being 77 Rue du Fossé, 6423 Esch-sur-Alzette, Luxembourg. **Switzerland:** This is an advertising document. The state of the origin of the fund is Ireland. In Switzerland, the Representative is 1741 Fund Solutions AG, Burggraben 16, CH-9000 St. Gallen. The Paying Agent in Switzerland is Tellico Bank AG, Bahnhofstrasse 4, 6430 Schwyz. The Fund Information may be obtained free of charge from the Representative. Past performance is no indication of current or future performance. The performance data do not take account of the commissions and costs incurred on the issue and redemption of units in the Fund. **Austria:** This is a marketing communication and serves exclusively as information for investors. Under no circumstances may it replace advice regarding the acquisition and disposal of investments which may result in a total loss of the investment. The Fund Information in English (and the KIDs in German language) can be obtained free of charge upon request from the Facilities Agent in Austria, FE fundinfo (Luxembourg) Sàrl, by contacting fa_gfr@fefundinfo.com. **United Kingdom:** In the United Kingdom this communication is directed exclusively at, and intended only for, investment professionals under Article 19 of the Financial Promotions Order and high net worth companies, unincorporated associations and other persons falling within Article 49 of the Financial Promotions Order. This communication is not intended for retail investors. **United States:** This marketing communication and its contents are not directed at any person that is resident in the United States ("US person"), and no offer or invitation is made to any US person to acquire or sell any service, product or security referred to. The provision of any information in this marketing communication does not constitute an offer to US persons to purchase securities.